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**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventors: Hiroyoshi TOMINAGA et al.

Application No.: 10/500,278

Filed: June 29, 2004

Docket No.: 120214

Patent No.: 7,364,495

Issue Date: April 29, 2008

For: **WAFER DOUBLE-SIDE POLISHING APPARATUS AND DOUBLE-SIDE  
POLISHING METHOD**

**REQUEST TO CORRECT ASSIGNEE UNDER 37 C.F.R. §3.81(b)**

Director of the U.S. Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This petition respectfully requests that U.S. Patent No. 7,364,495 be corrected to state the entire name of the Assignee.

The above-identified patent is assigned to Shin-Etsu Handotai Co., Ltd., by virtue of an Assignment recorded on June 29, 2004 at Reel 016313, Frame 0191 and an Assignment recorded on March 1, 2005 at Reel 016316, Frame 0743 (copies attached).

Applicants' representative supplied the incorrect assignee name under item 3(a) of the Issue Fee Transmittal Form PTOL-85B as "Etsu Handotai Co., Ltd." The failure to include the correct assignee name was inadvertent.

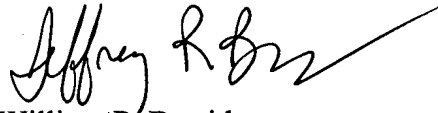
Therefore, the incorrect assignee data was printed on the front face of the patent when the assignee should be correctly identified as Shin-Etsu Handotai Co., Ltd.

It is respectfully requested that the attached Certificate of Correction under 37 C.F.R. §1.323 be issued to correct the assignee information on the cover page of U.S. Patent No. 7,364,495. In the event that the request for a Certificate of Correction is granted, Applicants request that the file be forwarded to Certificate of Correction Branch for issuance of a Certificate of Correction.

Attached to this request is our Check No. 209002 in the amount of \$130.00 as the processing fee set forth in 37 C.F.R. §1.17(i). If any additional fees are necessary, the U.S. Patent and Trademark Office is authorized to debit Deposit Account No. 15-0461.

Also attached is a Request for Certificate of Correction under 37 C.F.R. §1.323. The Request indicates that this Petition has been filed.

Respectfully submitted,



William P. Berridge  
Registration No. 30,024

Jeffrey R. Bousquet  
Registration No. 57,771

WPB:JRB/mkg

Date: August 18, 2008

**OLIFF & BERRIDGE, PLC**  
**P.O. Box 320850**  
**Alexandria, Virginia 22320-4850**  
**Telephone: (703) 836-6400**

**DEPOSIT ACCOUNT USE  
AUTHORIZATION**

Please grant any extension  
necessary for entry;  
Charge any fee due to our  
Deposit Account No. 15-0461



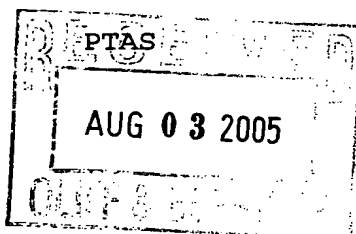
**UNITED STATES PATENT AND TRADEMARK OFFICE**

120214

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

JULY 27, 2005

OLIFF & BERRIDGE, PLC  
WILLIAM P. BERRIDGE  
P.O. BOX 19928  
ALEXANDRIA, VA 22320



\*102952155A\*

**UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT**

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES DIVISION, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 06/29/2004

REEL/FRAME: 016313/0191  
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

TOMINAGA, HIROYOSHI

DOC DATE: 05/10/2004

ASSIGNOR:

HAYASHI, TOSHIYUKI

DOC DATE: 05/10/2004

ASSIGNEE:

SHIN-ETSU HANDOTAI CO., LTD.  
4-2, MARUNOUCHI 1-CHOME  
CHIYODA-KU, TOKYO, JAPAN

SERIAL NUMBER: 10500278

FILING DATE: 06/29/2004

PATENT NUMBER:

ISSUE DATE:

TITLE: WAFER DOUBLE-SIDE POLISHING APPARATUS AND DOUBLE-SIDE POLISHING METHOD

016313/0191 PAGE 2

DIANE RUSSELE, PARALEGAL  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS



UNITED STATES PATENT AND TRADEMARK OFFICE

120214

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

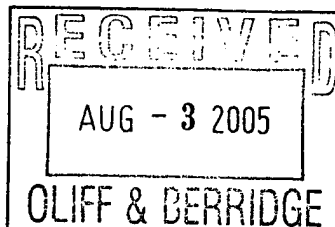
JULY 27, 2005

OLIFF & BERRIDGE, PLC  
WILLIAM P. BERRIDGE  
P.O. BOX 19928  
ALEXANDRIA, VA 22320

PTAS



\*102953029A\*



UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES DIVISION, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 03/01/2005

REEL/FRAME: 016316/0743  
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

TOMINAGA, HIROYOSHI

DOC DATE: 05/10/2004

ASSIGNOR:

HAYASHI, TOSHIYUKI

DOC DATE: 05/10/2004

ASSIGNEE:

SHIN-ETSU HANDOTAI CO., LTD.  
4-2, MARUNOUCHI 1-CHOME  
CHIYODA-KU, TOKYO, JAPAN

SERIAL NUMBER: 10500278

FILING DATE: 06/29/2004

PATENT NUMBER:

ISSUE DATE:

TITLE: WAFER DOUBLE-SIDE POLISHING APPARATUS AND DOUBLE-SIDE POLISHING METHOD

016316/0743 PAGE 2

JEEVON JONES, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS



**PATENT**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent No.: 7,364,495  
Issue Date: April 29, 2008  
Inventor(s): Hiroyoshi TOMINAGA; Toshiyuki HAYASHI  
Title: WAFER DOUBLE-SIDE POLISHING APPARATUS AND DOUBLE-SIDE  
POLISHING METHOD  
Docket No.: 120214

**REQUEST FOR CERTIFICATE OF CORRECTION UNDER RULE 323**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

It is respectfully requested that a Certificate of Correction be issued in order to correct the error(s) specified in the attached copy of Certificate of Correction Form PTO-1050. A Request to Correct Assignee Under 37 C.F.R. §3.81(B) accompanies this Request for Certificate of Correction and is filed herewith.

Applicants previously submitted Check No. 206252 in the amount of One Hundred Dollars (\$100.00) in accordance with the provisions of 37 C.F.R. §1.323 and §1.20(a) with a Request for Certificate of Correction submitted for consideration on June 3, 2008. However, the Request was denied, as evidenced by the attached July 9, 2008 Communication from the Decisions & Certificates of Correction Branch. The July 9, 2008 Communication indicates that if a fee was previously submitted for consideration of a Request for Certificate of Correction to correct assignment data, no additional fee is required. Accordingly, Applicants do not believe that any additional payment is required at this time. However, in the event of any underpayment or overpayment, please debit or credit Deposit Account No. 15-0461 as needed in order to ensure prompt issuance of a Certificate of Correction.

Respectfully submitted,

William P. Berridge  
Registration No. 30,024

Jeffrey R. Bousquet  
Registration No. 57,771

WPB:JRB/mkg

Date: August 18, 2008

**OLIFF & BERRIDGE, PLC**  
**P.O. Box 320850**  
**Alexandria, Virginia 22320-4850**  
**Telephone: (703) 836-6400**

**DEPOSIT ACCOUNT USE  
AUTHORIZATION**

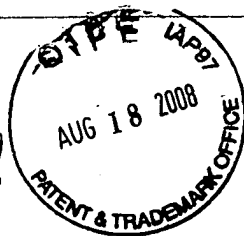
Please grant any extension  
necessary for entry;  
Charge any fee due to our  
Deposit Account No. 15-0461



UNITED STATES PATENT AND TRADEMARK OFFICE

120214  
JRB

Commissioner for Patents  
United States Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450  
www.uspto.gov



7/9/08  
Patent No. : 7364495  
Ser. No. : 10500278  
Inventor(s) : HIROYOSHI TOMINAGA; TOSHIYUKI HAYASHI  
Issued : 9/4/07  
Title : HANDLING INFORMATION IN A NOISY ENVIRONMENT  
Docket No. :

Re: Request for Certificate of Correction

Consideration has been given your request for the issuance of a certificate of correction for the above-identified patent under the provisions of Rule(s) 1.322 and/or 1.323.

Assignees' names and addresses (assignment data) printed in a patent, are based *solely* on information supplied in the appropriate space for identifying the assignment data, i.e., item 3 of the Issue Fee Transmittal Form PTOL-85B. Granting of a request under 37 CFR 3.81(b) is required to correct applicant's error providing incorrect or erroneous assignment data, *before* issuance of a Certificate of Correction, under 37 CFR 1.323 (*see Manual of Patent Examining Procedures (M.P.E.P) Chp.1400, sect. 1481*). This procedure is required *at any time after the issue fee is paid*, including after issuance of the patent.

In view of the foregoing, your request, in this matter, is hereby denied.

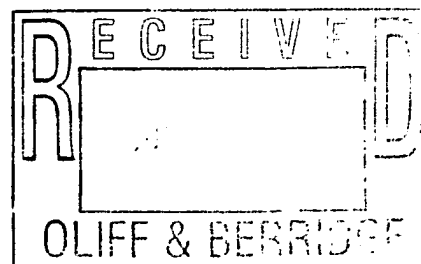
A request to correct the Assignee under 37 CFR 3.81(b) should include:

- A. the processing fee set forth in 37 CFR 1.117(h) (currently \$130);
- B. a statement that the failure to include the correct assignee name on the PTOL-85B was inadvertent; and
- C. a copy of the Notice of Recordation of Assignment Document, reflecting the reel and frame number where the assignment(s) is recorded and/or reflecting proof of the date the assignment was submitted for recordation.

*In the Request*, Applicant(s) may request that the file be forwarded to Certificates of Correction Branch, for issuance of a Certificate of Correction, if the Request is granted.

Any request under 37 CFR 3.81(b) should be directed to the following address or facsimile number:

By mail: Mail Stop PETITIONS  
Commissioner for Patents  
Post Office Box 1450  
Alexandria, VA 22313-1450





By hand: Customer Service Window  
Mail Stop Petitions  
Randolph Building  
401 Dulany Street  
Alexandria, VA 22314

By fax: (703) 872-9306  
ATTN: Office of Petitions

If a fee (currently \$100) was previously submitted for consideration of a Request for Certificate of Correction, under CFR 1.323, to correct assignment data, , no additional fee is required.

A certificate of correction will be issued to correct the remaining errors noted in your request.

**Henry Randall**  
For Cecelia Newman  
Decisions & Certificates  
of Correction Branch  
(703) 308-9390 Ext. 108

OLIFF & BERRIDGE, PLC  
P.O. BOX 320850  
ALEXANDRIA, VIRGINIA 22320-4850

HR

Under the Paperwork Reduction Action of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.  
(Also Form PTO-1050)

## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO : 7,364,495

DATED : April 29, 2008

INVENTOR(S) : Hiroyoshi TOMINAGA; Toshiyuki HAYASHI

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

(73) Assignee, "Etsu Handotai Co., Ltd." should read as follows: --Shin-Etsu Handotai Co., Ltd.--

MAILING ADDRESS OF SENDER: OLIFF & BERRIDGE, PLC  
P.O. Box 320850  
Alexandria, Virginia 22320-4850  
Telephone: (703) 836-6400

PATENT NO. 7,364,495  
No. of additional copies



Burden Hour Statement: This form is estimated to take 1.0 hour to complete. Time will vary depending upon the needs of the individual case. Any comment on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.